From: King, Maureen P.
To: "tshavers@buscog.com"
Subject: smail SEC v. Shavers

Date: Tuesday, November 30, 2021 6:02:00 PM

Attachments: DE 96.pdf

<u>Doc Request Follow up.pdf</u> <u>Statement Financial Condition x.pdf</u>

Mr. Shavers,

Further to our call tonight, please see the attached documents: the Court's Order; the document request; and the sworn financial statement form. Please let me know if you have any questions.

Maureen Peyton King Senior Trial Counsel Securities and Exchange Commission New York Regional Office Brookfield Place 200 Vesey Street, Room 400 New York, N.Y 10281 (212) 336-0111

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